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April 14, 2000

WRITER'S DIRECT NUMBER: (202) 789-5531 INTERNET ADDRESS: POBRIEN@SKGF.COM

Assistant Commissioner for Patents Washington, D.C. 20231

Box Missing Parts

Re:

U.S. Patent Application

Appl. No. 09/476,092; Filed: January 3, 2000

For: Analog Zero IF FM Decoder and Embodiments Thereof, Such

as the Family Radio Service

Inventors:

Sorrells et al.

Our Ref:

1744.0250001

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated February 17, 2000, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- 1. PTO Fee Transmittal Form PTO/SB/17;
- 2. Copy of the Notice to File Missing Parts;
- 3. Original Declaration, executed by the inventors;
- 4. An original executed Power of Attorney from Assignee;
- 5. Assignee 37 C.F.R. § 3.73(b) Statement with copy of Assignment attached;
- 6. Return postcard; and

Assistant Commissioner for Patents April 14, 2000 Page 2

> 7. Our Check No. <u>27258</u> for \$130 to cover: \$130 Surcharge for late filing of Declaration (37 C.F.R. § 1.16)

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Patrick D. O'Brien

Attorney for Applicants

Registration No. 41,224

PDO:rrs Enclosures

PTO/SB/17 (12/99)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2000

APR 1 4 2000

Small Entity payments <u>must</u> be otherwise large entity fees mus See 37 C.F.F	at be paid. See Forms PTO/SER. §§ 1.27 and 1.28.	TRAI
TOTAL AMOUNT OF PAYMENT	(\$)	

130.00

pformation unless it displays a valid OMB control number. Complete if Known plication Number 09/476,092 ∰ing Date January 3, 2000 Irst Named Inventor Sorrells **Examiner Name** To be assigned Group / Art Unit 2734 **Attorney Docket Number** 1744.0250001

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
 □ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: 	3. additional fees					
Deposit Account Number 19-0036						
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		130	205	65	Surcharge - late filing fee or oath	130.00
		50	227	25	Surcharge - late provisional filing fee or cover sheet	
	139	130	139	130	Non-English specification	
 ☑ Payment Enclosed: ☑ Check ☐ Money Order ☑ Other* *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036. 		2,520	147	2,520	For filing a request for reexamination	
		920*	112	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1	115	110	215	55	Extension for reply within first month	
1. BASIC FILING FEE	116	380	216	190	• •	
Large Entity Small Entity	117	870	217		Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	118	1,360	218	680		
111	128	1,850	228		Extension for reply within fifth month	
	119	300	219		Notice of Appeal	
106 310 206 155 Design filing fee	120	300	220	150	Filing a brief in support of an appeal	
107 480 207 240 Plant filing fee	121	260	221	130	Request for oral hearing	
108 690 208 334 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 00.00	141	1,210	241	605	Petition to revive - unintentional	
305101712(1) (4) <u>30.00</u>	142	1,210	242	605	Utility issue fee (or reissue)	
	143	430	243	215	Design issue fee	
2. EXTRA CLAIM FEES Fee from	144	580	244	290	Plant issue fee	
Extra below Fee Paid	aid					
Total Claims 20tt - V -	122	130	122	130	Petitions to the Commissioner	·
Total Claims 20** = X =						
Indep. Claims 3** = X =	123	50	123	50	Petitions related to provisional applications	
Multiple Dependent =	126	240	126	240	Submission of Information Disclosure Stmt	
** or number previously paid, if greater; For Reissues, see below Large Entity Small Entity		40	581	40	Recording each patent assignment per	
Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	501	40	property (times number of properties)	
103 18 203 9 Claims in excess of 20	146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
102 78 202 39 Independent claims in excess of 3	149 760		249	380	For each additional invention to be examined	
104 260 204 130 Multiple dependent claim			243	300	(37 CFR 1.129(b))	
108 78 209 39 **Reissue independent claims over original patent	Other fee (specify):				
110 18 210 9 **Reissue claims in excess of 20 and		specify) :				
over original patent	*Reduced by Basic Filing Fee Paid					
SUBTOTAL (2) (\$) 00.00						
					SUBTOTAL (3) (\$) <u>130.00</u>	

SUBMITTED BY			Complete (if applicable)		
Typed or Printed Name	Patrick D. O'Brien			Reg. Number	41,224
Signature	Patrick D. O. Bue	Date	14 Apr Jow	Deposit Acct. User ID	

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COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO./TITLE

09/476,092

01/03/00

SORRELLS

1744.0250001

0242/0217

STERNE KESSLER GOLDSTEIN & FOX PLLC 1100 NEW YORK AVENUE NW SUITE 600 WASHINGTON DC 20005-3934

gc 2/23 2749

DATE MAILED:

02/17/00

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1:136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or 🔼 \$130:00 for a non-small entity, must also be timely submitted in reply

to this NOTICE to avoid abandonment.	
If all required items on this form are filed within the period set above, the total amount owed by applicant as a □ small entity (statement filed) ☑ non-small entity is \$ / 30. 0	
 □ 1. The statutory basic filing fee is: □ missing. □ insufficient. Applicant must submit \$ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27). 	
☐ 2. The following additional claims fees are due:	
\$fortotal claims over 20.	
\$independent claims over 3.	
\$for multiple dependent claim surcharge. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.	
 ☑ 3. The eath or declaration: ☑ 1s missing or unsigned. ☐ does not cover the newly submitted items. An eath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application application Number and Filing Date is required. ☑ 4. The signature(s) to the eath or declaration is/are by a person other than inventor or person qualified under 37 CFR 	
1.43 or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.	
☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:	
An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.	
 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)). 7. Your filing receipt was mailed in error because your check was returned without payment. 8. The application was filed in a language other than English. Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)). 9. OTHER: 	94 09476092 130.00 OP
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."	ĕ
A copy of this notice <u>MUST</u> be returned with the reply.	4/17/2000 CVURACHA 00000094 1 FC:105
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Initial Patent Examination Division (703) 308-1202	4/17/200 1 FC:105
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